

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 1

**Complete if Known**

|                               |                         |
|-------------------------------|-------------------------|
| <b>Application Number</b>     | 10/076,634              |
| <b>Filing Date</b>            | 02-19-2002              |
| <b>First Named Inventor</b>   | HABERMANN, et al.       |
| <b>Group Art Unit</b>         | 1645                    |
| <b>Examiner Name</b>          | DUFFY, Patricia A.      |
| <b>Attorney Docket Number</b> | DEAV2001/0009 - US - NP |

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

/Patricia Duffy/

Date \_\_\_\_\_

**Considered**

1/22/07

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



## INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

|  |                       |   |
|--|-----------------------|---|
| Atty. Docket No. 02-657,1774-00        | Appln. No. 10/076,634 | <b>RECEIVED</b><br>NOV 08 2002<br>TECH CENTER 1600/2900 |
| Applicant Paul HABERMANN <i>et al.</i> |                       |   |
| Filing Date February 19, 2002          | Group: 1645           |   |

## U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name    | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|---------|-------|-----------|----------------------------|
| PAD               | 5,677,172       | 10/1997    | MAKAROW |       |           |                            |
|                   |                 |            |         |       |           |                            |
|                   |                 |            |         |       |           |                            |
|                   |                 |            |         |       |           |                            |

## FOREIGN PATENT DOCUMENTS

| Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|-----------------|------------------|---------|-------|-----------|-----------------------|
|                 |                  |         |       |           |                       |
|                 |                  |         |       |           |                       |
|                 |                  |         |       |           |                       |
|                 |                  |         |       |           |                       |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|     |   |
|-----|---|
| PAD | Thim et al., "Secretion and Processing of Insulin Precursors in Yeast," <i>Proc. Natl. Acad. Sci.</i> , 83: 6766-6770 (1986). |
|     |   |
|     |   |
|     |   |

|   |   |
|---|---|
| Examiner<br>PATRICIA A-DURAN  | Date Considered<br>6/7/04 / 1/22/07                       |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |
| Form PTO 1449   | Patent and Trademark Office - U.S. Department of Commerce |

OMB No. 0651-0011  
**RECEIVED**

## INFORMATION DISCLOSURE CITATION

|                  |                       |            |            |                       |
|------------------|-----------------------|------------|------------|-----------------------|
| Atty. Docket No. | 2481.1774-00          | Appln. No. | 10/076,634 | SEP 16 2002           |
| Applicant        | Paul HABERMANN et al. |            |            |                       |
| Filing Date      | February 19, 2002     | Group:     | 1645       | TECH CENTER 1600/2900 |

## U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name             | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|------------------|-------|-----------|----------------------------|
| 1/22/02<br>PAD    | 5,705,355       | 01/1998    | Tolstoshev et al |       |           |                            |
| PAD               | 6,103,502       | 08/2000    | Möller et al.    |       |           |                            |
|                   |                 |            |                  |       |           |                            |

## FOREIGN PATENT DOCUMENTS

|     | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No                   |
|-----|-----------------|------------------|---------|-------|-----------|---|
|     | DE 10033195A1   | 03/2002          | Germany |       |           | Abstract <i>copy provided</i>           |
|     | DE 3430550A1    | 02/1986          | Germany |       |           | <del>NOT IN EARL-LIST</del>             |
|     | 0 158 564 A1    | 10/1985          | EPO     |       |           | <del>NOT IN EARL-LIST</del>             |
| PAD | 0 195 691 B1    | 09/1986          | EPO     |       |           |   |
| PAD | 0 200 655 B1    | 04/1995          | EPO     |       |           | Claims <i>only</i>                      |
| PAD | 0 214 826 B1    | 03/1987          | EPO     |       |           | English Claims <i>only</i>              |
| PAD | 0 324 712 B1    | 07/1989          | EPO     |       |           | Claims <i>only considered</i>           |
| PAD | 0 347 781 B1    | 12/1989          | EPO     |       |           | Claims <i>only considered</i>           |
| PAD | 0 375 437 B1    | 06/1990          | EPO     |       |           |   |
| PAD | 0 419 504 B1    | 04/1991          | EPO     |       |           |   |
| PAD | 0 448 093 B1    | 09/1991          | EPO     |       |           | Claims <i>only considered</i>           |
| PAD | 0 468 539 B1    | 01/1992          | EPO     |       |           | Claims <i>only considered</i>           |
| PAD | 0 489 780 B1    | 06/1992          | EPO     |       |           |   |
| PAD | 0 511 393 A1    | 11/1992          | EPO     |       |           |   |
|     | 0 549 915 B1    | 07/1993          | EPO     |       |           | Claims <i>copy provided</i>             |
| PAD | 0 678 522 B1    | 10/1995          | EPO     |       |           |   |
|     | 0 775 710 A1    | 05/1997          | EPO     |       |           | <del>copy provided</del>                |
| PAD | WO 91/09125     | 06/1991          | WIPO    |       |           |   |
| PAD | WO 92/00321     | 01/1992          | WIPO    |       |           |   |
| PAD | WO 01/21662 A1  | 03/2001          | WIPO    |       |           | English ABSTRACT <i>only</i>            |
| PAD | WO 02/04486 A2  | 01/2002          | WIPO    |       |           | English ABSTRACT <i>only considered</i> |
|     |                 |                  |         |       |           |   |